



DeKalb County  
GEORGIA

- American Rescue Plan (ARP) CFDA 21.027
- Emergency Rental Assistance (ERA ) CFDA 21.023
  - CARES CFDA 21.019

**Risk Assessment Form**

Agency Name: Tekton Career Training Agency email contact: alyson@tektiontraining.org

Risk Assessment Signature: *Alyson L. Luzetsky* Date Completed: 2/4/22

DeKalb County Grant Department Reviewed & Approved: *Deborah Sherman* Date Completed: 2/4/22

Please email to : [dmsherma@dekalbcountyga.gov](mailto:dmsherma@dekalbcountyga.gov)

Purpose: The Federal Office of Management and Budget (OMB) Guidance provides a set of authoritative rules and regulations about federal grants. Within those guidelines are recommended procedures for managing subrecipients of Federal Funds, including several steps that should be performed at minimum in performing a risk assessment of subrecipient organizations.

The County is providing this questionnaire for completion by subrecipient organizations for the purpose of maintaining complete internal records necessary for its own annual audit and the preparation of its annual Comprehensive Annual Financial Report.

Below, please complete each OMB Guidance section as applicable to your organization and affirm with the signature of the Senior Executive Official.

OMB Guidance From Item 200.331	Subrecipient Response
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Evaluate each subrecipient's risk of noncompliance with Federal statutes, regulations, and the terms and conditions of the subaward for purposes of determining the appropriate subrecipient monitoring described in paragraph (e) of this section, which may include consideration of such factors as:

1	<u>Prior Grant Experience</u> Briefly explain the subrecipient's prior experience with the same or similar subawards.	<ul style="list-style-type: none"> <li>• Tekton was involved in a very similar two year grant that ended in 2021. Tekton was involved in the project management, training, recruitment and job placement portions.</li> </ul>
2	<u>Single Audit</u> Has the organization received a Single Audit within the past 2 years? Were significant deficiencies identified? Were corrective actions by management required? <ul style="list-style-type: none"> <li>• The extent to which the same or similar subaward has been audited as a major program.</li> <li>• Please provide a copy of your latest Single Audit Report.</li> </ul>	<ul style="list-style-type: none"> <li>• We haven't had an audit in the last two years due to COVID. We are in the process of starting one and hope to have it completed in two weeks.</li> </ul>
3	<u>Changes to Key Personnel and Systems</u> Has the subrecipient have new personnel in key positions or new or substantially changed systems?	<ul style="list-style-type: none"> <li>• We currently have an Interim director, who was a previous board chair and the founder of our non-profit.</li> </ul>
4	<u>Substantial Federal Awards</u> Does the Subrecipient also receive Federal awards directly from a Federal awarding agency)?	<ul style="list-style-type: none"> <li>• No. Not currently.</li> </ul>
5	<u>Federal Debarment</u> Have any of the organization's executives or the organization itself ever been placed on, or currently on a Federal Debarment list?	<ul style="list-style-type: none"> <li>• No.</li> </ul>
6	<u>DUNS / Unique Entity Identifier #</u> Has it been applied for and obtained? Please include.	<ul style="list-style-type: none"> <li>• 08-965-3064</li> </ul>



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