



DeKalb County
GEORGIA

American Rescue Plan (ARP) CFDA 21.027
Risk Assessment Form

Agency Name: Clarkston Development Foundation, Inc (CDF Action)		
Risk Assessment completed by: Roberta Malavenda, Executive Director <i>Roberta Malavenda Jan 18, 2022</i>		
DeKalb County Grant Department Reviewed & Approver: Date Completed: <i>Deborah Sherman 1/20/22</i>		
Please email to: : dmsherma@dekalbcountyga.gov		
<p>Purpose: The Federal Office of Management and Budget (OMB) Guidance provides a set of authoritative rules and regulations about federal grants. Within those guidelines are recommended procedures for managing subrecipients of Federal Funds, including several steps that should be performed at minimum in performing a risk assessment of subrecipient organizations.</p> <p>The County is providing this questionnaire for completion by subrecipient organizations for the purpose of maintaining complete internal records necessary for its own annual audit and the preparation of its annual Comprehensive Annual Financial Report.</p> <p>Below, please complete each OMB Guidance section as applicable to your organization and affirm with the signature of the Senior Executive Official.</p>		
OMB Guidance From Item 200.331		Subrecipient Response
Evaluate each subrecipient's risk of noncompliance with Federal statutes, regulations, and the terms and conditions of the subaward for purposes of determining the appropriate subrecipient monitoring described in paragraph (e) of this section, which may include consideration of such factors as:		
1	<u>Prior Grant Experience</u> Briefly explain the subrecipient's prior experience with the same or similar subawards.	CDF receives an annual award from the United Way of Greater Atlanta in the amount of \$165,000 for this project in 3 locations, two in DeKalb In addition, CDF has received awards from the Imlay Foundation, Stone Mountain Rotary Club, and other funding sources
2	<u>Single Audit</u> Has the organization received a Single Audit within the past 2 years? Were significant deficiencies identified? Were corrective actions by management required? <ul style="list-style-type: none"> • The extent to which the same or similar subaward has been audited as a major program. • Please provide a copy of your latest Single Audit Report. 	Eddy Long, CPA, conducts an annual Financial Review, approved by the CDF Board of Directors Aug 6 2020.
3	<u>Changes to Key Personnel and Systems</u> Has the subrecipient have new personnel in key positions or new or substantially changed systems?	•There have been no new personnel in key positions in the last 7 years and no changes in systems

4	<u>Substantial Federal Awards</u> Does the Subrecipient also receive Federal awards directly from a Federal awarding agency)?	CDF Action has received ARP dollars from the City of Clarkston within the last year.
5	<u>Federal Debarment</u> Have any of the organization's executives or the organization itself ever been placed on, or currently on a Federal Debarment list?	No
6	<u>DUNS / Unique Entity Identifier #</u> Has it been applied for and obtained? Please include.	019417309



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